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PATENT  
Attorney Docket No.: ECD-0004  
Customer No.: 29344  
paid-03-31-06  
2653  
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.* Examiner: Dinh, Tan X.  
Serial No.: 10/023,424 Group Art Unit: 2653  
Filing Date: December 12, 2001  
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA  
MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

3-31-06

Date

Vanessa Marakas  
Vanessa Marakas

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references BQ, CL, and CM; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: March 31, 2006  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
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Anthony P. Onello, Jr.  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



PATENT  
Attorney Docket No.: ECD-0004

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)

04/03/2006 EFSPROD 00000005 10023424  
01 FC:1806 180.00 DA

☐ under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)

☒ under 37 CFR 1.97(c) together with either:

☐ a Statement under 37 CFR 1.97(e), as checked below, or

☒ the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:

☐ a Statement under 37 CFR 1.97(e), as checked below, and

☐ the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/023,424

Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the previously submitted English-language translation of previously-cited reference.
  - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

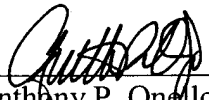
Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/023,424

Method of payment:

- ☐ Enclosed is a check in the amount of\_\_\_\_\_.
- ☒ The \$180.00 fee associated with the Information Disclosure Statement was paid with the simultaneously filed Electronic Information Disclosure Statement on March 31, 2006.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: March 31, 2006  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
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Telephone: (617) 994-4900  
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\_\_\_\_\_  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants



Subst. Form PTO-1449				Docket Number (Optional) <b>ECD-0004</b>		Application Number <b>10/023,424</b>		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)				Applicant <b>John J. Hart III, et al.</b>				
				Filing Date <b>12/12/01</b>		Group Art Unit <b>2653</b>		
U. S. Patent Documents								
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BA							
	BB							
	BC							
	BD							
	BE							
	BF							
	BG							
	BH							
	BI							
	BJ							
	BK							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	BL							
	BM							
	BN							
	BO							
	BP							
	BQ	WO 02/02301 A1	01/10/02	PCT	B29D	11/00	X	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	BR							
	BS							
	BT							
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.								



Supp. Form PTO-1449  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Docket Number (Optional) <b>ECD-0004</b></td> <td style="width:50%;">Application Number <b>10/023,424</b></td> </tr> <tr> <td colspan="2">Applicant <b>John J. Hart III, et al.</b></td> </tr> <tr> <td>Filing Date <b>12/12/01</b></td> <td>Group Art Unit <b>2653</b></td> </tr> </table>	Docket Number (Optional) <b>ECD-0004</b>	Application Number <b>10/023,424</b>	Applicant <b>John J. Hart III, et al.</b>		Filing Date <b>12/12/01</b>	Group Art Unit <b>2653</b>
Docket Number (Optional) <b>ECD-0004</b>	Application Number <b>10/023,424</b>						
Applicant <b>John J. Hart III, et al.</b>							
Filing Date <b>12/12/01</b>	Group Art Unit <b>2653</b>						

U. S. Patent Documents							
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA						
	CB						
	CC						
	CD						
	CE						
	CF						
	CG						
	CH						
	CI						
	CJ						
	CK						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	CL	WO 02/03106	01/10/02	PCT	G02B		X	
	CM	WO 02/03386	01/10/02	PCT	G11B	20/00	X	
	CN							
	CO							
	CP							
	CQ							

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	CR	
	CS	
	CT	

EXAMINER	DATE CONSIDERED
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